Disposition Reporting

Conchita Matson Identification Supervisor





Why are dispositions important?

- Required by Ohio Revised Code
- Important for administration of criminal justice functions
- Important information for federal, state, local and tribal fingerprint based background checks for noncriminal justice purposes

Governor Kasich's Executive Order

Issued on April 23, 2018

 Reassembly of 2015 NICS working group to assess progress and develop an implementation plan

Ohio Computerized Criminal History (CCH)

- Criminal History Database is fingerprint based.
- All information depicted on a CCH Rapsheet is supported by either ten print fingerprint cards received from Law Enforcement agencies or disposition data received from the courts.

Court Ordered Fingerprinting

ORC Section 109.60 (A)(2)

Requires every court with jurisdiction over a case to inquire as to whether or not the person or child has been fingerprinted (for this incident). If not, the court shall order the person or child to appear before the Sheriff or Chief of Police within 24 hours to have fingerprints taken.

Senate Bill 227

Amends ORC 109.60(A)(3)

Allows a court to have a person's fingerprints taken at the time of sentencing or adjudication as an alternative to requiring an SO or PD to take them, if fingerprinting was required but not done at the time of arrest, arraignment or first appearance.

Senate Bill 227 Cont.

• Amends 2953.32(C)(5)

Requires a court that orders the sealing of a criminal conviction record to order the person who's record is being sealed to be fingerprinted by a sheriff; requires the sheriff to forward the fingerprints to the court; and requires the court to forward the fingerprints and copy of the sealing order to BCI.

Reportable Juvenile Offenses

ORC Section 2901.01(A)(9)(a)

- Lists the offenses of violence
- Section (d) includes conspiracy, attempt or complicity in committing any offense under this section.

Mayor's Court Disposition Reporting

O.R.C. 1905.033(B)(2)

The Mayor of a municipal corporation who conducts a mayor's court shall make the following report...

"A report to the Bureau of Criminal Investigation of every conviction in the mayor's court for an offense that is a misdemeanor on a first offense and a felony on any subsequent offense. The mayor shall make the report under division (B)(2) of this section upon entry of the judgment of conviction for the offense."

3 Ways to Submit Dispositions

- 1. Fill out a 2-71 paper form provided by Law Enforcement with preprinted ITN number and mail to BCI.
- 2. Electronically via FTP (File Transfer Protocol) automated process copy of specifications and instructions can be obtained from ITS Support via email at ITSSUPPORT@OHIOATTORNEYGENERAL.GOV

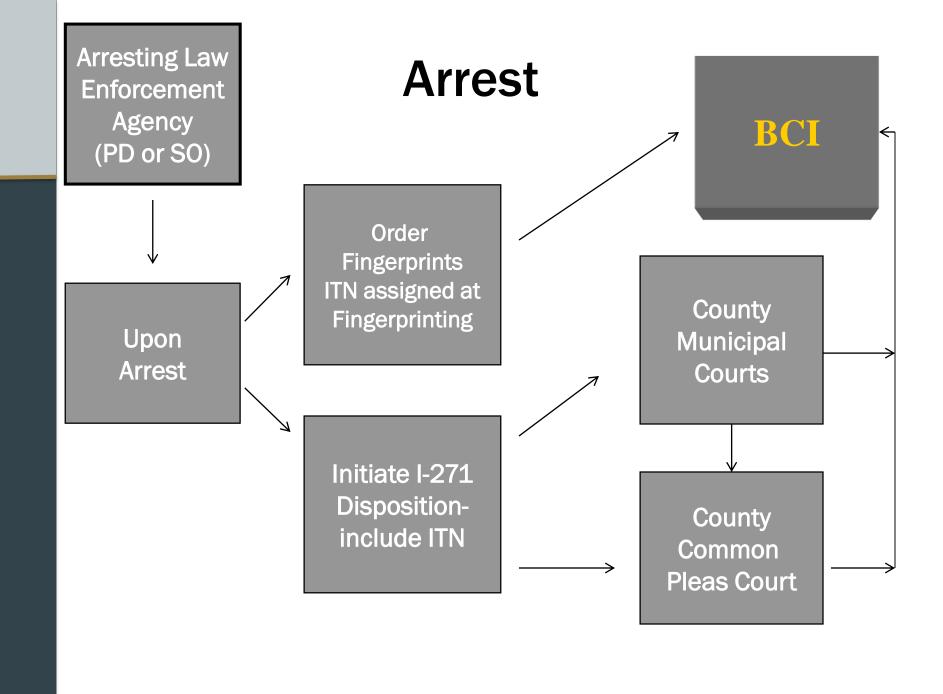
3 Ways to Submit Dispositions Cont.

 3. Electronically via Ohio Courts Network (OCN), a program managed by the Supreme Court that submits disposition information directly to BCI

 To participate in this program contact the OCN Helpdesk at 614-387-9980

Submitting Juvenile Dispositions

- The court should only be receiving disposition (2-71 forms) with an ITN number from the arresting agency listing reportable offenses
- Even if the case was adjudicated to a lesser, non reportable offense or was dismissed; the disposition should still be sent to BCI



BCI Final Disposition Form

Final Disposition Form 2-71

ach arrest cycle must include a F racking Number (ITN) which is union blank space is provided for the ITI ositions, please reproduce or hand	ue throughout the incident. The ITN is N on this Disposition Form. To ensure copy the ITN from the Arrest Tenprint	sitions are linked by a common Inciden s preprinted on Arrest Tenprint Cards and proper linkage between arrests and dis Card onto this Disposition Form. Submi	d - it
o: BCI&I, PO Box 365 London, OH See instructions on reverse side			Insert ITN Number
FBI number 2		Final disposition and date (If convicted or subject pleaded guilty modification with disposition.)	to lesser charge include this
Name on fingerprint card subm		modification via disposition,	
Last 3 First	Middle		
Furnish in all cases:	5	12	
Date of birth4 Fingerprint classification	Sex		
State Bureau number	Social Security No. (SOC)	This form submitted by: (Name, T	ītle, Agency, City and State)
Contributor of fingerprints		13	
		Signature	Date
		Tit	lle
Arrest number	Date arrested or received	[] Court ordered expungement	
9	10	Return arrest fingerprint card to c authenticated copy of court order	ontributing agency; certified or attached.
Offenses charged at arrest		Right four fingers taken simultaneously	
11			

How to Fill Out a Final Disposition Form

Law Enforcement Officers: Blocks 1-11 are to be completed by law enforcement as well as the right four fingerprint impressions.

- 1. ITN (incident tracking number). Required field. Automated number supplied at time of arrest by Livescan machine.
- 2. FBI number (now known as Universal Control Number)
- 3. Name Required field
- 4. Date of Birth Required field
- 5. Sex Required field

How to Fill Out a Final Disposition Form

- 6. State Bureau Number (BCI number)
- 7. Social Security number not required but extremely helpful in searching the database; if sending, all numbers required
- 8. Contributor of fingerprints arresting agency; a required field
- 9. Arrest number supplied by the arresting agency
- 10. Date arrested supplied by arresting agency (date of arrest); a required field
- 11. Offenses charged at arrest required field supplied by the arresting agency. Any additional charges brought after arrest will go in space 12.

How to Fill Out a Final Disposition Form

- 12. Final disposition and date required field. Please include level of conviction and subsection of Ohio Revised code or local ordinance if applicable, literal description and sentencing information. Please see sticker provided for information needed. You can fill out the sticker and attach it to the form, fill it out manually or you can attach the sentencing information to the 2-71 disposition form and mail it to BCI.
- 13. Court ORI required field. Indicates court that submitted the form. Please include signature and date.

Submitting electronically

Accuracy of ITN number is important

CYCLE/01 DOA/2005MAR06 SEQ/01 ITN/039373IA DRE/2005MAR07 DLU/2017FEB16

ARREST AGENCY/OH0131600 UNION TWP PD CINCINNATI ARREST#/05CRB01452 01/DOMESTIC VIOLENCE MISD DOO/2005MAR06

2919.25 DISP/HELD

EAG/OHBCI00C2 /2005MAR07

JUDICIAL

01/POSSESSION OF COCAINE HEROIN F5 CDD/200/OCT04 2925.11A DISP/CONVICTED

PROVISIONS/PROBATION EAG/OHBCIOOA5 /2007OCT04/2017FEB16

TRN/2005CR000161-1

02/MENACING M4 2903.22 CDD/2005MAR22 DISP/CONVICTED LESSER OFFENSE

CONFINEMENT/30D

SENTENCE SUSPENDED/30D

PROBATION/365D

FINE/100

EAG/OHBCI00A5 /2005MAR23/2017FEB16

TRN/2005CRB01452-1

Field Names

	Data		
сст	1	CDD	2007/09/18
* CDN	310	CIT	290707
CON	FEL	Jud. ORI	0H025353J
css			CMT
CPR	3Y		CFN
* COL	IMPORTUNING F5		
CPN1	334	CPN2	СРИЗ
CPL	CLASSIFIED AS A S	SEXUALLY ORIENT	ED OFFENDER REQUIRED TO REGISTER AND
			Record 1 of 2

CCH Acronyms

CDD Court Disposition Date

CDN Court Disposition Numeric

CIT Citation, Statute, Ohio Revised Code

CON Level of Conviction

JUD. ORI Judicial Originating Agency Identifier

CSS Court Suspended Sentence

CMT Confinement

CPR Court Probation

CFN Court Fine

COL Charge Literal

CPN123 Court Provisions

CPL Provisions Literal

Field Names Cont.

arty TypeDEFENDANT harge	Name Degree M1		
DENTIFICATION			
SN#	BCI#		- 3 (5
riv.Lic	FBI#		
REST:			
rest 03/12/2017 Org	anizati	ITN # 706134C	
NTENCE:			
nviction Type spended	Probation		
spended ntence	Fine		
nfinement	Amount		
OVISIONS: -			
ov #1	Prov #2		
striction xt	2=	Prov #3	
elds Required to Submit The Court Disposition	No. (Charge Den Code)		
Judicial Organization Arrest Date must be le	Date is required ss than or equal to Court Di	osition date	
The Employee ID is req The Transaction Number		124.530.6300.0577.4751	

Procedure Information

- Include level of conviction and subsection
- Can attach court docket (sentencing entry)to
 2-71 form or fill out sticker (copy in packet)
- Relief from disability should be mailed to BCI.
 Include demographics and case number(s)
 the person has been granted relief for. It is
 extremely helpful if the initial sentence could
 be included because sometimes a disposition
 has not been reported to BCI.
- Report all dismissals, No Bills, Dismissals or convictions relating to mental health reasons

Procedure Information

- Corrections to dispositions can be faxed to 866-239-5524 on letterhead or emailed to CCH_ Corrections@ohioattorneygeneral.gov Include ITN number, date of arrest and person's name and date of birth.
- Modifications or corrections to sentences and vacate orders can be mailed, faxed or emailed to BCI. These should also include ITN number, date of arrest and person's name and DOB.
- Diversion cases report when placed on diversion and again when final disposition is known

Electronic Disposition Basic Report

RECORDS PROCESSED	27
RECORDS LOADED INTO CCH	24
RECORDS CONTAINING ERRORS	0
NO CORRESPONDING ARREST ON FILE	3
A DISPOSITION WITHOUT A TRN IS ALREADY ON FILE	0
DISPOSITION ALREADY ON FILE	0
RECORDS CONTAINED SUPPLEMENT DATA AND WERE IGNORED	0

REC# 0016 - FATAL ERROR

CDD < DOA

MKE/ER3B DATE-SUB/10172001 Employee-ID/AGF JUD-ORI/OH009013J AR-ORI/OH0090000 SOC/272272272 TRN/CRB0100341 DLS/ DLN/ BCI/ FBI/ DOA/10152001 CDD/08212001 ORC/292302A2B3 ITN/1005877B CON/MIS COL/ATTEMPT THEFT M2 CDN/311 CSS/30D CMT/30D CPR/ CFN/350 CPN1/338 CPN2/346 CPN3/ CPL/

ORC-COPY/292302A2B3C4

Electronic Disposition Basic Report

FILE NAME: 08050083J.072 REPORT DATE: 07/22/2008 07		
	······ • • • • • • • • • • • • • • • •	
NO CORRESPONDING ARREST ON	FILE 49	
DISPOSITION ALREADY ON FILE RECORDS CONTAINED SUPPLEMENT	IS ALREADY ON FILE 10 0 1 DATA AND WERE IGNORED 0	
REC # 0044 - FATAL ERROR	MKE/ER3B	
	DATE-SUD/06042000	
	Employee=ID/RJP JUD-ORI/ONO50083J	
	ARR-0R1/0H0500100	
	50C, TRN/52599763	
	DLS/OH	
	DLN 7	
	BCI FDI	
	DOA/01192008	
	CDD/06042008	
REQUIRED FIELD INVALID	ORC/291925C	
REGOTRED FIELD INVALID	CONTRIS	
	COL/DONESTIC VIOLENCE THREAT M4	
	CDN/310 CSS/30D	
	CH7/30D	
	CPR/1Y	
	CFN/150	
	CPN1/	
	CPN3/	
	CPL/	
	ORC-COPY/291925C	

Detailed Report

August-7-afternoon-details

8/19/2008

1/19/2008

	Reason			ITN	BCI SOC
1	DISP FOUND WITHOU	OH050083J	6/2/2008		
	DISP EXISTS	OH050083J	2/25/2008	274100C	
	DISP EXISTS	OH050083J	8/6/2007	273827C	
	OFFENDOR NOT ON FI	OH050083J	3/1/2008		
	DISP EXISTS	OH050083J	3/15/2008	591209C	
6	DISP EXISTS	OH050083J	4/25/2008	591236C	
	DISP EXISTS	OH050083J	6/4/2008		
8	DISP FOUND WITHOU	OH050083J	3/28/2008		
	DISP EXISTS	OH050083J	6/4/2008		
10	OFFENDOR NOT ON FI	OH050083J	4/26/2008		
11	CYCLE NOT ON FILE	OH050083J	5/5/2008		
	DISP EXISTS	OH050083J	3/26/2008		
13	CYCLE NOT ON FILE	OH050083J	5/8/2008		
-	CYCLE NOT ON FILE	OH050083J	8/3/2007]
	CYCLE NOT ON FILE	OH050083J	12/28/2007		
-	DISP EXISTS	OH050083J	3/26/2008		
17	CYCLE NOT ON FILE	OH050083J	3/8/2008		
18	CYCLE NOT ON FILE	OH050083J	2/29/2008		7
19	DISP EXISTS	OH050083J	4/9/2008	591225C	.1
20	DISP EXISTS	OH050083J	2/25/2008	274100C	1
21	DISP FOUND WITHOU	OH050083J	2/17/2008		1
22	CYCLE NOT ON FILE	OH050083J	5/28/2008		1
23	OFFENDOR NOT ON FI	OH050083J	3/17/2008		
24	OFFENDOR NOT ON FI	OH050083J	3/17/2008		
25	DISP EXISTS	OH050083J	4/9/2008	591224C	
26	DISP EXISTS	OH050083J	5/21/2008	591605C	
27	DISP EXISTS	OH050083J	3/4/2008	591205C	
28	DISP FOUND WITHOU	OH050083J	6/4/2008		P.
29	DISP EXISTS	OH050083J	6/5/2008	136224RU	1,
30	CYCLE NOT ON FILE	OH050083J	2/29/2008		
31	DISP EXISTS	OH050083J	4/24/2008	591234C	1
32	DISP FOUND WITHOU	OH050083J	2/22/2008		t.
33	CYCLE NOT ON FILE	OH050083J	5/30/2008		
34	OFFENDOR NOT ON FI	OH050083J	4/11/2008		
35	CYCLE NOT ON FILE	OH050083J	3/8/2008		
36	CYCLE NOT ON FILE	OH050083J	5/6/2008	135091RU	
37	OFFENDOR NOT ON FI	OH050083J	3/22/2008		
38	DISP EXISTS	OH050083J	8/6/2007	273827C	
39	CYCLE NOT ON FILE	OH050083J	2/11/2008		
101	DISP EXISTS	OH050083J	2/25/2008	274093C	
11	DISP EXISTS	OH050083J	2/25/2008	274093C	
120	CYCLE NOT ON FILE	OH050083J	3/8/2008	-	
		OH050083J	4/26/2008		
14 F	ATAL ERROR	OH050083J	1/19/2008	13223RU	
15		OH050083J		136118RU	
10	OFFENDOR NOT ON FI		5/10/2008		

Disposition Report Errors

Multi cycle with same ITN

 There are two or more arrests on file with the same ITN. The program cannot determine which arrest corresponds to the disposition.

Multi cycle with the same DOA

– There are 2 or more arrests on file that occurred on the same day. The program cannot determine which arrest corresponds to the disposition.

Disposition Report Errors

Offender not on file

 Unable to locate an offender within CCH. This means that BCI did not receive a fingerprint card for this individual.

Cycle not on file

 An offender has been located, but this incident or date of arrest is not on file. A fingerprint card needs to be forwarded from the arresting agency.

Disposition Report Errors

- Disp (disposition) exists
 - There is already a disposition on file for arrest
- Disposition found without TRN (tracking number)
 - Within CCH there is a separate field for a court's TRN to differentiate electronic submissions from manual entries. This error means that there is already a disposition entered manually on file

Fatal Errors

Required field invalid

- This message is always beside the field that has been entered incorrectly. This could happen for a variety of reasons. The format for that field was entered incorrectly (Date of birth, Date of arrest or Ohio Revised Code).

Fatal Errors

Required field missing

- This message is always beside the field that has been left blank. Within the specifications there are several combinations by which the search for a matching offender is processed. If there is a field missing that is required for the search the program will error because it can't complete the search without the required fields

FAQ's

 Why do I receive an error message when I submit an Ohio Revised Code ending in "F"?
 The current specifications do not allow this.

Why don't the error reports explain the error?
 The basic error report displays only fatal errors.
 A detailed report listing all errors can be requested from ITSSupport.

FAQ's Cont.

- Why did a transaction with an ITN number result in an Offender Not on File or Cycle Not on File error? If I have an ITN number doesn't it mean BCI has the arrest? Not always. There are various reasons why it might not be on file.
- 1. The Livescan transmission failed for technical reasons
- 2. BCI personnel rejected the card because of missing or incorrect information and returned it to agency for clarification
- 3. The person was printed by both the PD and the SO and the court received the ITN that isn't on file

FAQ's Cont.

 How do I resolve the "no corresponding arrest record on file" messages?
 The court does not need to follow up on these.

- Why do probation violations need to be reported by mail?
 - These might reject if the original sentence has already been submitted.

FAQ's Cont.

 I have 2 different ITN numbers that apply to the same case. How should I report these?
 Choose one ITN number to report the disposition.

Write "duplicate reported under ITN-----" on 2-71 form with other ITN number and mail it to BCI. If not submitting electronically, write disposition on one (or attach sentencing information) and attach the other 2-71 form to it with message listed above.

Example of Reporting Duplicate ITN's

a	Leave Blank
n _ 1	Insert ITN Number
Lingerprinted@ CCNO	974 000 C
@ That # when incarcuated	charge, include this
Liegenprinted @ Defince P.D. @ this ITNE	
when arrested.	
	Previously sent electronically 3-24-17 @ IN # 423503Mb Singerprinted@ CCNO @ What # When incarcuated Singerprinted@ Definee P.D. @ this ITN# When arrested.

Example of Reporting Duplicate ITN's

BCI Form 2-71 Final Disposition Bureau of Criminal Ide	ntification and Investigation	Leave Blank
Each arrest cycle must include a Final Disposition. Arrest Tracking Number (ITN) which is unique throughout the incider	0	
a blank space is provided for the ITN on this Disposition For	Theviously sent	
Tracking Number (ITN) which is unique throughout the incider a blank space is provided for the ITN on this Disposition For positions, please reproduce or hand copy the ITN from the Ari to: BCI&I, PO Box 365 London, OH 43140-0365.	electronically 3-30-17	
(See instructions on reverse side)	@ JAN # 923971C 01	Insert ITN Number
FBI number	Fingerprinted @ Defiance	423741MG
1 bi ilumber	Singenprinted @ Defiance P.D. Q that ITNH	er charge include this
Name on fingerprint card submitted	when arrested.	3
Last First	Printed @ CENO @ this	
Furnish in all cases:	Frinted @ CEND Q the ITN# When served.	
D	time for sentencing	
Date of birth 19940213 Sex M	time for sentencing beginning 3-30-17	
Fingerprint	3 dayla	
classification	o days.	
State Bureau number	2001	

 Can the court report multiple dispositions for one fingerprint submission?

Yes. You can report as many dispositions as you want under an ITN number. If submitted, the ITN number is the field the program searches first.

All entries submitted under that ITN number will attach to the one submission.

- Should a new set of fingerprints be ordered if the original case is dismissed and a new case is reopened for the same incident?
 No, this is not necessary. The dismissal can be reported as well as the sentencing information on the new case
- Should a new set of fingerprints be ordered if the person is convicted of a lesser offense?
 No. Any changes to an arrest should be submitted by the arresting agency.

Should DUI's be printed?
 Yes, it is an escalating misdemeanor

 If Municipal court is binding charges over to Common Pleas, how should they report this?
 Forward the ITN form to the Common Pleas court to report the final outcome.

 How important is it for the judge to order someone to be fingerprinted?

Very important. If BCI does not have a set of fingerprints on file for a charge, it will not appear on the person's criminal history if a background check is done.

- What if the Muni court disposes of misdemeanor charges and binds over remaining felonies?
 - Report the charges disposed of in Muni court and forward remaining charges with ITN number to Common Pleas to report.
- How important are case numbers?
 Very important. This helps connect cases on a rap sheet and follow the sequence of events

 If a felony case is dismissed in Common Pleas and a new court case is re-opened for the same incident, does a correction form need submitted?

No. Corrections to arrest information can only be requested by the arresting agency

- What if I receive a 2-71 disposition form with an ITN number for a witness arrest?
 - Write "Witness arrest, not a criminal offense" in the Final Disposition field and mail to BCI

- Do I report a disposition when the Grand jury directly dismisses a case?
 - Yes

 If we receive a 2-71 disposition form with an ITN number for a person who was conveyed from prison to court for a hearing should I report it?

Yes. Write "Inmate conveyed to court from prison for a hearing" in the Final disposition field of the 2-71 form and mail to BCI.

Questions regarding Electronic Dispositions

Call Conchita Matson at 740-845-2212
 OR

Email your questions to:

Electronic_ Dispositions@ohioattorneygeneral.gov

Sealed Record on CCH

STATE OF OHIO, OFFICE OF THE ATTORNEY GENERAL
BUREAU OF CRIMINAL IDENTIFICTION & INVESTIGATION (OHBCI0000)
P.O. BOX 365, LONDON, OHIO 43140
VALIDATED CRIMINAL HISTORY RECORD: DRE/1977OCT12 DLU/2004SEP27
MKE/QRO PUR/C ORI/OHBCI0005 REQ/SMALLJM DATE/2004OCT13 TIME/08:29:46

BCI/A123456 NAM/PUBLIC, JOHN Q

POB/OH AGE/22

DOB/1982JAN01 SEX/M RAC/W HGT/506 WGT/165 EYE/GRN HAI/BRO SMT/ART L

EYE

FBI/9018000 SOC/279279279 HFP/XXX XXX X XXX FPC/15 PI CI 11 PI

MNU/AN-7251324 16 PO PO 11 PI

LKA/549 SOUTH ST SPRINGFIELD

HCC/005 FLG/SLP S=SINGLESTATE L=LOADED-III CVF/ EVF/ HVF/ RSO/Y FCF/N HGF/C

*** ADDITIONAL IDENTIFICATION DATA

AKA/NEWTEST, THREE TEST, SECOND TESTONE, CHARGEONE

SOC/122335555

*** MORE DATA MAY BE AVAILABLE PER OHIO REVISED CODE 2953.32 (D) OR (E)

Sealed vs. Expunged Records

Sealed Record

Sealing a record is the process of removing a fingerprint card stored in the BCI automated file as a result of a court order. The subject's demographic information is retained in the automated files with a notation that the record has been sealed. The sealed record can only be disseminated under certain circumstances defined by state statute 2953.32

Expunged Record

Expunging a record is the process of removing a fingerprint card stored in the BCI automated file as a result of a court order. The fingerprint card is then returned to the contributing agency. Nothing is kept at BCI and it is as if the arrest never occurred.

Sealing orders

- 3 ways to send:
- 1. Fill out form provided by BCI (in handouts) and mail to P.O. Box 231 London, OH 43140
 The form is available on the Attorney General's website under

Forms/BCI Criminal Records & Background
Checks/Court/Sealings & or Expungement request

- 2. Send by email to expungement.submissions@ohioattorneygeneral.gov
 - 3. Fax to 855-527-1575

Juvenile Sealed Records

They are expunged/deleted from CCH files.

 Applies not only to dismissals, but to adjudications to offenses that aren't reportable as well.

Additional Questions Regarding Expungements and Sealings of Criminal History Records:

CONTACT:

Belinda Davis

Identification Supervisor

BCI

740-845-2331

Contact Information

- For corrections to dispositions:
 CCH_ Corrections@ohioattorneygeneral.gov
- For questions regarding electronic submissions:
 Electronic_ Dispositions@ohioattorneygeneral.gov
- Court forms on Attorney General's website: Forms/BCI Criminal Records & Background Checks/ Court

OHLEG

- The Ohio Law Enforcement Gateway provides access to CCH as well as other databases.
- If you are interested in obtaining access please contact the OHLEG Help Desk at
- Email:
- OHLEGsupport@ohioattorneygeneral.gov
- Phone: 1-866-406-4534



How to contact us

Conchita Matson - Identification Supervisor

Direct Line: 740-845-2212

Fax: 866-239-5524

Rob Sollars - Quality Assurance Manager

Direct Line: 740-845-2213

Fax: 866-400-5011



