

In The Supreme Court of Ohio

Case Information Statement

Case Name: <u>UBS Financial Services, Inc., f/k/a Paine Webber, Inc. v. Thomas M. Zaino</u>	Case No.: 07-1129
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I. Has this case previously been decided or remanded by this Court? Yes No

If so, please provide the Case Name: _____
 Case No.: _____
 Any Citation: _____

II. Will the determination of this case involve the interpretation or application of any particular case decided by the Supreme Court of Ohio or the Supreme Court of the United States? Yes No

If so, please provide the Case Name and Citation: _____

Will the determination of this case involve the interpretation or application of any particular constitutional provision, statute, or rule of court? Yes No

If so, please provide the appropriate citation to the constitutional provision, statute, or court rule, as follows:

U.S. Constitution: Article _____ Section _____ Ohio Revised Code: R.C. 5725.14; R.C. Chapter 5725
 Ohio Constitution: Article _____ Section _____ Court Rule: _____
 United States Code: Title _____ Section _____ Ohio Admin. Code: O.A.C. _____ - _____ - _____

III. Indicate up to three primary areas or topics of law involved in this proceeding (e.g., jury instructions, UM/UIM, search and seizure, etc.):

- 1) Interpretation of R.C. 5725.14
- 2) Calculation of net worth for dealer in intangibles
- 3) Tax Commissioner's refund authority

IV. Are you aware of any case now pending or about to be brought before this Court that involves an issue substantially the same as, similar to, or related to an issue in this case? Yes No

If so, please identify the Case Name: _____
 Case No.: _____
 Court where Currently Pending: _____
 Issue: _____

FILED

JUN 22 2007

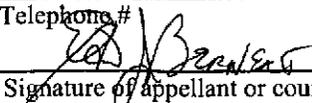
CLERK OF COURT
 SUPREME COURT OF OHIO

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